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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,589	09/26/2001	Wang Guofang	TOYAM76.001AUS	3546
20995	7590 02/28/2003			
KNOBBE MARTENS OLSON & BEAR LLP			EXAMINER	
2040 MAIN STREET FOURTEENTH FLOOR			YAMNITZKY, MARIE ROSE	
IRVINE, CA	92614			
			ART UNIT	PAPER NUMBER
			1774	
			DATE MAILED: 02/28/2003	
				,

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary    Defice Action Summary			tion Summary				
Examiner   Marie R Yamnitzky   1774	2) Notice 3) Inform	e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s)	5)  Notice of Informal F				
Examiner   Art Unit   1774			c priority under 35 U.S.C. §§ 120	and/or 121.			
Examiner   Art Unit   1774	a) The translation of the foreign language provisional application has been received.						
Examiner	14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
Examiner   Marie R Yamnitzky   1774	application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Examiner  Marie R Yamnitzky  1774	3. Copies of the certified copies of the priority documents have been received in this National Stage						
Examiner  Marie R. Yamnitzky							
Examiner  Marie R Yamnitzky  T774  The MAILING DATE of this communication appears on the cover sheet with the correspondence address  Period for Repty  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Edencious of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SX (6) MONTHS from the mailing date of this communication.  Edencious of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thinky (30) days, a reply within the statutory minimum of thinty (30) days will be considered timely.  Edencious of the may be available under the minity (30) days, a reply within the statutory minimum of thinty (30) days will be considered timely.  This control for reply specified above is less than thinky (30) days, are reply within the statutory minimum of thinty (30) days will be considered timely.  The status of the provision of the maining date of this communication, and the statutory minimum of thinty (30) days will be considered timely.  This action is provised by the Office later than there months after the mailing date of this communication, even if timely filled, may reduce any search patent term adjustment. See 37 CFR 1.704(b).  This action is FINAL.  2b) This action is non-final.  3) Is since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Exparte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disp sition of Claims  4) Claim(s) 1-7 is/are pending in the application.  4a) Of the above claim(s) is/are allowed.  5) Claim(s) is/are allowed.  5) Claim(s) is/are allowed.  6) Claim(s) is/are allowed.  Claim(s) 1-7 are subject to restriction and/or election requirement.  Application Papers  9) The specification is objected		1. Certified copies of the priority documents	s have been received.				
Examiner  Marie R Yamnitzky  The MAILING DATE of this communication appears on th cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Edensions of time may be evaluable under the protections of 2 FGR 1.13(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  If the period for reply is specified above in less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  If NO period for reply septical above in less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply will share application for the mailing date of this communication, even if timely flied, may reduce any semble them adjustment. See 37 CFR 1.70(b).  Status  1) ☐ Responsive to communication(s) filed on 26 September 2001.  2a) ☐ This action is FINAL.  2b) ☐ This action is non-final.  3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disp sition of Claims  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) is/are allowed.  7) ☐ The drawing(s) filed on is/are withdrawn from consideration.  Applicant may not request that any objection to the dr							
Examiner Marie R Yamnitzky	13)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
## Examiner   Marie R. Yamnitzky   1774    ## The MAILING DATE of this communication appears on the cover sheet with the correspondence address  ## Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after Str. (b) MONTHS from the mailing date of this communication.  If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  ### The period for reply within the statutory minimum of thirty (30) days will be considered timely.  ### The period for reply specified above is less than thirty (30) days, a reply the statute, as the specified above the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply statute and the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply statute and the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply statute and the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply show the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply show the statutory minimum of thirty (30) days will be considered timely.  #### The period for reply specified above is less than thirty (30) days, a reply be timely filed on the statutory minimum of thirty (30) days and the specified above will be considered timely.  #### The period for reply specified above the statutory minimum of thirty (30) days and the specified above the specified above th	Priority u	ınder 35 U.S.C. §§ 119 and 120					
Examiner   Art Unit   Marie R. Yamnitzky   1774   1	12) The oath or declaration is objected to by the Examiner.						
Examiner    Marie R. Yamnitzky							
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Applicati n No. Applicant(s)							

Application/Control Number: 09/965,589

Art Unit: 1774

Claims 1-7 are generic to a plurality of disclosed patentably distinct species of cyclic tertiary amine compound represented by formula (1) comprising different combinations of A,  $Y^1$  and  $Y^2$  wherein:

each A is independently selected from (a) an alkyl group having 1 to 6 carbon atoms, (b) a substituted or unsubstituted aryl group, (c) a substituted or unsubstituted aralkyl group, or (d) a substituted or unsubstituted heterocyclic group;

Y<sup>1</sup> is selected from (e) a substituted or unsubstituted arylene group or (f) a substituted or unsubstituted heterocyclic divalent group; and

Y<sup>2</sup> is selected from (g) a group represented by formula (2), (h) a substituted or unsubstituted condensed ring arylene group or (i) a substituted or unsubstituted heterocyclic divalent group.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, even though this requirement is traversed. In addition, applicant is required to select an ultimate species consonant with the elected species that will be used as the starting point for search and examination purposes. An example of a patentably distinct species that could be elected is a compound represented by formula (1) wherein each A is (b), Y<sup>1</sup> is (e) and Y<sup>2</sup> is (g). Formula (3) on page 9 represents a compound that is an ultimate species of this species.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the

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examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

A telephone call was made to applicant's representative on 02/19/03 to request an oral election to the above election of species requirement, but did not result in an election being made. The requirement was discussed with Che Chereskin on 02/19/03. On 02/21/03, Che Chereskin requested a written requirement per applicant's request.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication should be directed to Marie R. Yamnitzky at telephone number (703) 308-4413. The examiner works a flexible schedule but can generally be reached at this number from 6:30 a.m. to 4:00 p.m. Monday, Tuesday, Thursday and Friday, and every other Wednesday from 6:30 a.m. to 3:00 p.m.

The current fax numbers for Art Unit 1774 are (703) 872-9311 for official after final faxes and (703) 872-9310 or (703) 305-5408 for all other official faxes. (Unofficial faxes to be sent directly to examiner Yamnitzky can be sent to (703) 872-9041.)

MRY 02/25/03

> MARIE YAMNITZKY PRIMARY EXAMINER

Marie R. Yannitzky

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